



RENEWABLE WATER RESOURCES

**Purchasing Department
561 Mauldin Road
Greenville, South Carolina 29607**

**REQUEST FOR QUALIFICATION
For
Indefinite Delivery Contract Construction Services
For
Process (WRRFs) and Conveyance (Pump Stations and Sewer Lines) Work**

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Renewable Water Resources
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1. General Information

Renewable Water Resources (ReWa) is soliciting qualification packages from eligible Contractors with utility experience interested in providing pump station, sewer line (gravity and force main), and Water Resource Recovery Facility (WRRF) construction and repair. The successful Contractors must have the local capability, including staff and equipment, to perform the work and must have demonstrated experience performing this level of work as a regular part of their business.

Contractors may submit a Statement of Qualifications (SOQ) for consideration for one or more categories listed below; however, only a single qualification package is required regardless of the number of categories for which the Contractor is applying. Each SOQ must apply to only one company; no "teaming" of companies is permitted. Contractors will be selected based on an assessment of the submitted SOQ's and ReWa's projected/anticipated needs. ReWa may select up to eight Contractors for each of the listed categories. At ReWa's discretion, interviews may be required as part of the selection process.

Each selected Contractor may potentially contract for both category-specific Indefinite Delivery Contract(s) (IDC) for Construction Services and will be eligible to provide work within their selected category(s) in support of ReWa's initiatives and projects on an as-needed basis. Each category-specific Indefinite Delivery Contract shall be limited to a total expenditure of up to Four Million (\$4,000,000) Dollars for a 3-year period with individual project expenditures not to exceed One Million (\$1,000,000) Dollars. The term of each Contract will be three (3) years with an option to renew up to an additional four (1) one-year terms. A copy of the Indefinite Delivery Contract for Construction Services can be found on www.rewaonline.org as a part of this Request for Qualifications.

Those Contractors asked to enter into an Indefinite Delivery Contracts with ReWa will be required to provide a schedule of fees to be included with the contract. ReWa at its discretion may choose to utilize the fee schedule and/or obtain bids from prequalified Indefinite Delivery Contractors. Although the purpose of this Request for Qualifications is to establish a list of qualified IDC Contractors, ReWa, at its own discretion, may elect to competitively procure similar work through other Contracting methods if it is believed that it's in the best interest of the Agency. There shall be no guaranteed amount of work under this solicitation; no guarantees are made that projects will be constructed in parts or in whole.

ReWa reserves the right to allocate work following ReWa Procurement Code, considering factors that promote efficient use of public resources and do not discourage competition. These factors may include, but are not limited to, experience in specific fields relevant to the project, previous work with ReWa, and previous work on similar projects.

2. Categories

Process (WRRFs):

ReWa anticipates qualified Contractors to be engaged in all applicable construction services typically required within a Water Resource Recovery Facility including but not limited to the replacement, modification, addition and repair of wastewater treatment process equipment and structures (process mechanical, electrical, structural, civil site work etc.).

Conveyance (Pump Stations and Sewer Lines):

ReWa anticipates qualified Contractors to be engaged in all applicable construction services typically required within a wastewater collection and conveyance system including but not limited to the replacement, modification, addition and repair of a wastewater pump station, force main and gravity sewer line (process mechanical, electrical, structural, civil site work etc.).

3. Qualification Package

Please refer and strictly adhere to the sections below for detailed information on the package submittal requirements and due dates.

- A. If your Company specializes in more than one of the categories listed previously, please state the categories that your Company desires to be considered for prequalification. The qualification package shall include relevant information for the category of work to be considered.
- B. Contractor Qualifications: See form *Qualification Application Form* outlining the proper format to provide below information:
- i. Provide a general description of the services provided by the Contractor.
 - ii. Provide Contractor's name (as shown on IRS form W9) postal address, state of incorporation. Provide year the Contractor was established, years the Contractor has operated under the current name and years the Contractor has been providing services.
 - iii. Provide payment and performance bonding/surety company information and Contractor's rating.
 - iv. Provide the Contractor's South Carolina General Contractor, WL and WP license numbers. The SC General Contractor and WL Licenses are required for the Conveyance Category. The SC General Contractor and WP Licenses are required for the Process Category.
 - v. Provide documentation verifying that the Contractor has an acceptable safety record. This documentation includes an outline of the Contractor's written safety program and a list of any adversely resolved or pending citations, lawsuits, administrative proceedings, or hearings initiated by the Occupational Safety and Health Administration (OSHA) concerning project safety practices of the firm in the last 5 years. The Contractor must provide their most recent Experience Modification Rate (EMR) and their Days Away, Restricted, or Transferred (DART) Rate for each of the last three previous years, as outlined in Attachment A. A Minimum EMR Score of 1.0 or less and 3-year average DART Score of 2.86 or less are required and Contractors who do not meet these minimum standards will not be qualified. ReWa reserves the right to ask all qualified Contractors to resubmit these scores annually. These scores can also be requested of any Subcontractor proposed on any one project.
 - vi. Provide Contractor's history, including present ownership and key management individuals. Describe any anticipated or existing changes in overall corporate management ownership. If the Contractor is involved in any bankruptcy or reorganization proceedings, include bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued. Applicant must have been in existence for a minimum of five (5) years under its current company name. Changes in company name during the experience period are acceptable if the continuity of the company structure can be demonstrated.
 - vii. List Contact person from company including name, title, telephone number, fax number and email address.
 - viii. List any pending litigation and the nature of litigation for the past five (5) years including any environmental fines.
 - ix. List all events within the last five (5) years where the Contractor has defaulted on a contract/subcontract. Identify by year of the incident, project owner, owner contact information, project name, and the basis for the action. This includes all Liquidated Damage claims, loan defaults, bonding claims/payments, bonding refusal and failure to complete a project.
 - x. List any projects within the last ten (10) years that resulted in any of the following: sanitary sewer overflows (SSOs), regulatory fines (either to the Owner or the Contractor) or regulatory intervention.
 - xi. Potential project values may vary up to one million (\$1,000,000) dollars per project. Provide letter from the Contractor's surety company as a proof of Contractor's bonding capabilities showing highest bonding capability amount. **A statement in the qualification package in lieu of surety company letter on Contractor's bonding capabilities shall NOT be considered acceptable proof.** The qualified lowest responsive responsible bidder for a

specific project in excess of fifty thousand (\$50,000) dollars shall be required to bond 100% required amounts delivering the following bonds or security to the Agency which shall become binding on the parties upon the execution of the project:

- a. performance bond satisfactory to the Agency, executed by a surety company authorized to do business in this State, or otherwise secured in a manner satisfactory to the Agency in an amount equal to 100% of the price specified in the project; and,
 - b. a payment bond satisfactory to the Agency, executed by a surety company authorized to do business in this State, or otherwise secured in a manner satisfactory to the Agency, for the protection of all persons supplying labor and materials to the contractor or its subcontractors for the performance of the work provided for in the project. The bond shall be in an amount equal to 100% of the price specified in the contract.
- xii. Attach a copy or outline of the Contractor's written substance abuse policy.
- xiii. Attach a copy or outline of the Contractor's Written Quality Assurance Program.
- xiv. Locality: Please provide the approximate distance (miles) from ReWa's Administration Building (561 Mauldin Road, Greenville 29607) to the Contractor's local office or place of business. Provide information if the Contractor has an office location or full-time employee geographically located such that they can be onsite within 3-hours' notice by automobile to ReWa's Administration Building located at 561 Mauldin Rd, Greenville, SC 29607.
- xv. Subcontractors: If work is not being self-performed, please list preferred subcontractors for each discipline (process mechanical, electrical, structural, civil site work etc.). If more than one subcontractor could be used for each discipline, please list up to three. At the time of receiving bids on any project, ReWa reserves the right to ask for any relevant work experience of Subcontractors similar to the requirements of this qualification (safety, work experience, project manager/superintendent experience etc.).
- C. Related Project Experience: All of the project references must meet below listed criteria.
- i. Describe five (5) successful projects of similar type and size defined for each project category that the Contractor wishes to be considered with at least two (2) projects having a value of at least \$750,000 completed within the last five (5) years.
 - ii. All provided project information – see form *Project Reference Form* outlining the proper format to provide below mandatory information:
 - Project Name
 - Project Location
 - Project Description including scope of work
 - Owner: (Address, Phone, Contact Person)
 - Original Contract Amount:
 - Contract Type: (i.e., Lump Sum, GMP, etc.)
 - Final Cost/Actual Cost:
 - Anticipated Project Duration:
 - Actual Project Duration
- D. Project Approach: Describe the general tasks required to fulfill a project of this type and magnitude as well as the Contractor's approach to completing those tasks in a timely and efficient manner. The approach must be specific to the project categories being considered for qualification.
- E. Key Personnel:
- i. List the key personnel proposed for the project and include short resumes for each.

- ii. See form *Project Manager Experience Form* outlining the proper format to provide the following information – identify the Project Manager and include a description of his/her related project experience, education, and pertinent training and certifications. The Project Manager must have experience in supervising/managing at least three (3) projects within the last five (5) years for similar types of projects with two (2) of those projects having a constructed value of at least \$750,000. A primary and alternative Project Manager must be prequalified by each Contractor. Attach a resume for each.
- iii. See form *Superintendent Experience Form* outlining the proper format to provide the following information – identify the *Superintendent* and include a description of his/her related project experience, education, and pertinent training and certifications. The *Superintendent* must have experience in supervising/managing at least three (3) projects within the last five (5) years for similar types of projects with two (2) of those projects having a constructed value of at least \$750,000. A primary and alternative *Superintendent* must be prequalified by each Contractor. Attach a resume for each.

F. Statement of Intent to Bid

G. Previous Work with ReWa

If the Contractor has been previously “suspended” from working with ReWa, the Contractor shall be disqualified from this Qualification process. Contractor performance of “marginal” or “satisfactory” will be determined by ReWa for purposed of the Scored Evaluation Elements point value.

Please provide information on your previous work awarded and/or completed in the past five (5) years, in an excel spreadsheet stating:

- i. Project name
- ii. Date awarded
- iii. Date completed (if applicable)
- vi. Project Value

4. Insurance Requirements

ReWa shall be named as additional insured for its interest on all policies of insurance except Worker's Compensation as regards ongoing operations, products and completed operations and this shall be noted on the face of the Certificate of Insurance.

Certificates for all such policies shall be provided by the contractors' insurance agent or broker to ReWa within 15 (fifteen) working days from the date of award. Contractors will provide Owner a minimum of 30 days advance notice in the event of the insurance policies or insurance policy is canceled. Subcontractors approved by Owner to perform work on this project are subject to all of the requirements in this section.

Contractors agree to maintain and keep in force during the life of this Agreement, with a company or companies authorized to do business in South Carolina for the following insurance policies:

Worker's Compensation – State of South Carolina Statutory
Employers Liability – \$500k/\$500k

Automobile Liability

\$ 1,000,000 Per Accident - Combined Single Limit

Coverage shall include bodily injury and property damage and cover all vehicles including owned, non-owned and hired.

Commercial General Liability

\$ 1,000,000 Per Occurrence

(Including products and completed operations liability)

Umbrella Liability

\$1,000,000

5. Selection Criteria

Qualifications will be evaluated using criteria as listed below in order of relative importance:

- a. past performance;
- b. experience of professional personnel to be assigned to the project;
- c. related experience on similar projects;
- d. demonstrated ability to meet time and budget requirements;
- e. creativity and insight related to the project;
- f. current, and projected work-loads of the firms;
- g. locality
- h. previous work with ReWa

6. Claims/Final Resolution/Judgements

If any of the following actions occurred on, or in conjunction with, any project performed by the Contractor, any affiliate, or their officers, partners or directors in the last ten years please provide details on a separate sheet for each instance.

1. Legal Action Implemented by Contractor against Owner
2. Legal Action Implemented by Contractor against Subcontractor
3. Legal Action Implemented by Owner
4. Legal Action Implemented by Subcontractor
5. Settlement or Close Out Agreement in effect with Owner
6. Judgements
7. Arbitrations
8. Environmental Fines issued in association with Contractor's work efforts

7. Submittals and Inquiries

Excluding the project reference forms, the qualification package should be no more than forty (40) pages in length. Financial, Safety, Quality Assurance and Substance Abuse information shall not be included in the page count. Pages shall be 8.5"x11" with the exception of charts, photos, and exhibits which may utilize 11"x17" folded to 8.5"x11". Pages shall use standard text no smaller than 10 point. To be considered, packages should include one (1) original and five (5) copies including one (1) flash drive mailed or delivered to:

ReWa
Purchasing Department
561 Mauldin Road
Greenville, South Carolina 29607

Re: **RFQ433 –03/04/2019 Indefinite Delivery Contract Construction Services Process (WRRFs) and Conveyance (Pump Stations and Sewer Lines)**

7. Due Dates and Inquiries

Qualification packages must be received no later than **April 3, 2019 at 2:00 p.m.** local time at the address shown above.

Questions should be directed to Stephanie Selman at 864-299-4000 ext. 274 or via e-mail at stephanies@re-wa.org no later than **March 27, 2019 at 5:00 p.m.** local time. No others are to be contacted regarding this solicitation. ReWa will not be responsible for or bound by any oral instructions made by an employee(s) of ReWa regarding this solicitation.

An optional Pre-Bid Meeting will be held on **March 20, 2019 at 2:00 p.m.** at the address above.

8. Terms and Conditions All aspects of this solicitation shall be governed by the Procurement Code adopted by ReWa.

INSTRUCTIONS TO RESPONDENTS

1. All aspects of this solicitation shall be governed by the Procurement Code adopted by ReWa.
2. Response amendments thereto or withdrawal requests received after the time advertised for opening will be void regardless of when they were mailed.
3. Attach complete specifications for and permitted substitutions offered, or when amplification is desirable or necessary.
4. If specifications or descriptive papers are submitted with the response, enter respondents name thereon.
5. If applicable, unit prices shall govern over extended prices, and written out prices shall govern over numeric prices, unless otherwise stated in notice.
6. Responses must be based upon payment 30 EOM. Discounts for payment in less than thirty (30) days will not be considered in making award.
7. In case of Respondent's default ReWa reserves the right to purchase any or all items/services in default on open market, charging Respondent with any excessive costs.
8. The right is reserved to reject any response in which the delivery time indicated is considered sufficient to delay the operation for which the goods/services are intended.

In compliance with solicitation, and subject to all conditions, thereof, the undersigned offers and agrees to, if this response is accepted.

Below listed Addenda (if applicable) is hereby acknowledged:

- | | |
|----------|----------|
| 1. _____ | 4. _____ |
| 2. _____ | 5. _____ |
| 3. _____ | 6. _____ |

Company Name: _____

Phone: _____ Fax: _____

Address: _____

Response signed in writing by

Signature: _____

Printed Name: _____

Title: _____

Response Date: _____

Qualification Application Form

a. Applicant:

Contractor Name (as it appears on w-9): _____

Address: _____

State of Incorporation: _____

Contact Person:

Name: _____

Title or Position: _____

Telephone Number: _____

Fax Number: _____

Email Address: _____

Provide payment and performance bonding/surety company information below. To be acceptable, a surety shall have an A.M. Best Rating of "A-" or higher.

Name: _____

Address: _____

Point of Contact: _____

Surety A.M. Best Rating: _____

List the Contractor's South Carolina General Contractor's license number.

SC GC License No: _____

SC WL License No: _____

SC WP License No: _____

Provide documentation verifying that the Contractor has an acceptable safety record. An acceptable safety record is defined as attainment and, maintenance of an Experience Rate Modifier (ERM) of 1.0 or less for at least two of the last three years and no more than 1.2 in any one year of the last three.

2016 ERM: _____

2016 DART: _____

2017 ERM: _____

2017 DART: _____

2018 ERM: _____

2018 DART: _____

Contractor's history, including present ownership and key management individuals.

Describe any anticipated or existing changes in overall corporate management ownership.

Number of Years in Business under current company name: _____

(Note: Contractor must have been in existence for a minimum of five (5) years under its current company name. Changes in company name during the experience period are acceptable if the continuity of the company structure can be demonstrated. Attach separate documentation, if applicable.)

1. Does the Contractor have an office location or full time employee geographically located such that they can be onsite within 3 hour notice by automobile?

Yes No

2. Enclose with the prequalification application a separate written description of the proposed project approach. This shall include a description of the general tasks required to fulfill a project of this type and magnitude as well as the Contractor's approach to completing those tasks in a timely and efficient manner.

b. If Contractor answers "YES" for **ANY** of the following questions 1 – 5, Applicant must attach additional information as indicated.

1. Has the Contractor ever defaulted on a contract or subcontract?

Yes No

If "yes," please explain on a separate signed page. Identify by year of the incident, project owner, owner contact information, project name, and the basis for the action.

2. Is the Contractor involved in any bankruptcy or reorganization proceedings?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

3. Has the Contractor, within the past ten (10) years, worked on a project that resulted in any of the following: sanitary sewer overflows (SSO's), the Owner or Contractor being assessed environmental fines, or any regulatory intervention?

SSO's: Yes No

Environmental Fines: Yes No

Regulatory Intervention: Yes No

If "yes," please explain on a separate signed page. Identify by year of the incident, project owner, owner contact information, project name, and the basis for the action.

Note: Questions 4 and 5 refer only to disputes between you and a project Owner. You need not include information about disputes between you and a supplier, another contractor or subcontractor in the past five (5) years. You may omit reference to all disputes regarding amounts less than \$25,000.

3. In the past five years, has any claim against the Contractor concerning the Contractor's work on a construction project been filed in court or submitted for mediation or arbitration?

Yes No

If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, claimant name, a brief description of the nature of the claim, the court in which the case was filed, details of the arbitration or mediation, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

4. In the past five years, has the Contractor made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or requested mediation or arbitration?

Yes No

If "yes," on a separate signed page, provide the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a description of the nature of the claim, the court in which the case was filed, and a description of the status of the claim (pending, or if resolved, a brief description of the resolution).

5. In the past five years, has a surety company made payments on Contractor's behalf as a result of default, to satisfy any claims made against a performance or payment bond issued on the Contractor's behalf, in connection with a public or private construction project?

Yes No

If "yes," please explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

Project Reference Form

Describe five (5) successful projects of similar type and size defined for each project category that the Contractor wishes to be considered with at least two (2) projects having a value of at least \$750,000 completed within the last five (5) years. Repeat the use of this form as necessary.

Project Name and Location: _____

Project Owner (Name, Contact Telephone No., Email Address):

Provide a brief description of the project scope of work:

Scheduled Duration: _____

Actual Duration: _____

Date Completed: _____

Project Cost: \$_____

Cost overrun: Yes: \$_____ No: _____

Cost or schedule overrun explain reason briefly: _____

Project Reference meets the Qualifications listed above in Section 2. Provide supporting documentation that substantiates meeting these qualifications.

Please also include examples of previous project opportunities that enhanced the Contractor's creativity and insight.

Project Manager/Site Superintendent Experience Form

List the Contractor’s primary and alternate Project Managers and Site Superintendents that would be assigned to projects executed as part of this RFQ process. For each person listed, provide reference project information to include three (3) projects completed within the last five (5) years, with at least two (2) having a constructed value of at least \$750,000. If any listed reference project was performed while individual was employed with another firm or entity, include the firm or entity with the reference project information. Attach a resume for each Project Manager and Site Superintendent. ReWa reserves the right to determine if individual Project Managers or Superintendents are not qualified. If during bidding, a qualified Contractor proposes a different Project Manager or Superintendent not qualified in this Request for Qualification, ReWa will request the following information at a minimum in order to approve them for that role.

1. Project Manager #1 (Primary)

Name: _____ Year Employment Started with Contractor: _____

Location: _____

Project #1: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner’s Representative: _____

Phone Number: _____

Project #2: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner’s Representative: _____

Phone Number: _____

Project #3: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner’s Representative: _____

Phone Number: _____

2. Project Manager #2 (Alternative)

Name: _____ Year Employment Started with Contractor: _____

Location: _____

Project #1: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #2: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #3: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

3. Site Superintendent #1 (Primary)

Name: _____ Year Employment Started with Contractor: _____

Location: _____

Project #1: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #2: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #3: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

4. Site Superintendent #2 (Alternative)

Name: _____ Year Employment Started with Contractor: _____

Location: _____

Project #1: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #2: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

Project #3: Name: _____ Year Completed: _____

Contract Amount: _____

Name of Owner: _____

Name of Owner's Representative: _____

Phone Number: _____

ATTACHMENT A

PAST SAFETY PERFORMANCE

ReWa greatly values the relationships with our contractors and understand that we share a mutual goal of ensuring that every employee works, every minute of every day, in an environment that will allow them to return safely home at the end of their work shift.

ReWa's goals:

- ZERO fatalities
- ZERO disabling injuries
- ZERO lost workdays
- ZERO recordable incidents
- ZERO fires and other events that result in loss of property
- ZERO environmental accidents; and
- Strict compliance with all OSHA regulations; and
- 100% compliance with OSHA regulations related to excavation safety
- 100% compliance with OSHA regulations related to confined space entry
- 100% compliance with OSHA regulations related to fall protection

In order to ensure that we hire only those contractors that share our values regarding employee safety, for this project we will consider each contractor's safety record as part of the prequalification process.

PAST SAFETY PERFORMANCE SUBMISSION REQUIREMENTS

I. Experience Modification Rate (EMR)

a. Definition: The Experience Modification Rate is a widely used indicator of a contractor's past safety performance. The insurance industry has developed experience rating systems as an equitable means of determining premiums for workers' compensation insurance. A Contractor's rating is based on a comparison of Contractors doing similar types of work.

Workers' compensation insurance premiums for a contractor are adjusted by this rate. Lower rates, meaning that fewer or less severe accidents had occurred than were expected, result in lower insurance costs. A contractor's EMR is adjusted annually by using the rate for the first three of the last four years.

b. Standard: The Contractor shall report their current EMR where indicated on Attachment A. If EMR data is not available the Contractor shall provide a statement certifying that the information is not available and an explanation as to why the information is not available. Also, in the event the information cannot be provided, the Contractor shall provide the name and telephone number of a representative of its Workman's Compensation Insurance provider that is familiar with the Contractor's past safety performance. Applications which do not include the required information will be normally deemed as non-responsive and will not be prequalified. ReWa reserves the right, at its sole discretion, to prequalify an Contractor that is unable to provide the required information in the event that ReWa determines that the Contractor has provided an acceptable explanation as to why the information is unavailable and ReWa determines, through some other means, that the Contractor has an excellent safety record.

ReWa shall evaluate applications using the following standards:

Acceptable: EMR of 1.00 or less
Not Acceptable: EMR greater than 1.20

Submittals with an EMR greater than 1.30 will not normally be prequalified. However, Contractors with an EMR of greater than 1.30 must provide additional supporting information if they believe that extenuating circumstances unrelated to job site safety have resulted in their high EMR. ReWa reserves the right, at its sole discretion, to prequalify Contractors with an EMR greater than 1.30 if ReWa determines that the reported EMR is not reflective of the Contractor's past job site safety performance.

II. Occupational Safety and Health Administration (OSHA) Days Away, Restricted, or Transferred (DART) Rate

a. Definition: OSHA has established specific mathematical calculations that enable Contractors to report their DART rates so that they are comparable across any industry or group.

The OSHA DART Rate is calculated as shown below:

$(N / EH) \times (200,000)$ where N is the number of cases involving 1) days away and/or 2) restricted work activity, and/or 3) job transfer; EH is the total number of hours worked by all employees during the calendar year; and 200,000 is the base number of hours worked for 100 full-time equivalent employees

b. Standard: The Contractor shall report DART Rate data for their Contractor for each of the last three previous years where indicated on Attachment A. If DART data is not available the Contractor shall provide a statement certifying that the information is not available and an explanation as to why the information is not available. Applications which do not include the required information will not normally be prequalified. REWA reserves the right, at its sole discretion, to prequalify an Contractor that is unable to provide the required information in the event that REWA determines that the Contractor has provided an acceptable explanation as to why the information is unavailable and ReWa determines, through some other means, that the Contractor has an excellent safety record.

ReWa shall evaluate applications against the most recently published national rate for North American Industry Classification System (NAICS) Code 23711 (water and sewer line and related structures construction), "Cases involving days away from work, transfers, or restrictions".

Acceptable: 3-year average DART Rate less than or equal to 1.30 times the national rate (currently, 2.2) i.e.

3-year average DART Rate of 2.86 or less

Not Acceptable: 3-year average DART Rate greater than 2.86

Submittals with an average DART Rate above the Acceptable range will not normally be prequalified. However, **Contractors with an average DART Rate above the Acceptable range must provide additional supporting information** if they believe that extenuating circumstances unrelated to job site safety have resulted in their high (average) DART Rate. ReWa reserves the right, at its sole discretion, to prequalify Contractors with an average DART Rate above the Acceptable range if ReWa determines that the reported average DART Rate is not reflective of the Contractor's past job site safety performance.

LIST OF SELECTED SUBCONTRACTORS

List Subcontractors' information by specialty for all portions of subcontracted Work. Identify the Subcontractor, if any, for any specialty areas identified below as vital to the Project by Owner and Engineer. **Please Type Subcontractor's information on the specified line if all or part of this Portion of the Work is to be subcontracted.**

(1) Portion of the Work: Process Mechanical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(2) Portion of the Work: Process Mechanical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(3) Portion of the Work: Process Mechanical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(4) Portion of the Work: Electrical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(5) Portion of the Work: Electrical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(6) Portion of the Work: Electrical

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(7) Portion of the Work: Structural

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(8) Portion of the Work: Structural

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(9) Portion of the Work: Structural

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(10) Portion of the Work: Civil Site Work

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(11) Portion of the Work: Civil Site Work

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(12) Portion of the Work: Civil Site Work

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(13) Portion of the Work: Other

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(14) Portion of the Work: _____ Other _____

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

(15) Portion of the Work: _____ Other _____

Subcontractor: _____

Address of Subcontractor: _____

Subcontractor License Number _____

Bidder Name: _____
Bidder License Number: _____
Signed: _____
By: _____
Its: _____
Dated: _____

Applicant's Statement of Intent to Bid

As Applicant for General Contracting Services prequalification for Renewable Water Resources Small Piping Systems, Large Piping Systems, and Sewer Pump Stations On-Call Repair and Construction Services solicitation, I hereby give notice of the Applicant's intent to submit a bid on an as needed basis if prequalified:

Type of legal entity: _____

Applicant:

Contractor: _____

By: _____

Title or Position: _____

Signature: _____

Scored Evaluation Elements
(To be completed by ReWa)

Scored Element		AVAILABLE POINTS			
		0	1	3	5
1a	Project #1 meets Criteria	No	Yes (meets some criteria)	Yes (meets most criteria)	Yes (meets all criteria)
1b	Project #2 meets Criteria	No	Yes (meets some criteria)	Yes (meets most criteria)	Yes (meets all criteria)
1c	Project #3 meets Criteria	No	Yes (meets some criteria)	Yes (meets most criteria)	Yes (meets all criteria)
1d	Project #4 meets Criteria	No	Yes (meets some criteria)	Yes (meets most criteria)	Yes (meets all criteria)
1e	Project #5 meets Criteria	No	Yes (meets some criteria)	Yes (meets most criteria)	Yes (meets all criteria)
2a	Project Manager #1: All Projects meet Criteria	No	Yes (none w/ Contractor)	Yes (some with Contractor)	Yes (all with Contractor)
2b	Project Manager #2: All Projects meet Criteria	No	Yes (none w/ Contractor)	Yes (some with Contractor)	Yes (all with Contractor)
2c	Site Superintendent #1: All Projects meet Criteria	No	Yes (none w/ Contractor)	Yes (some with Contractor)	Yes (all with Contractor)
2d	Site Superintendent #2: All Projects meet Criteria	No	Yes (none w/ Contractor)	Yes (some with Contractor)	Yes (all with Contractor)
3	Locality	> 100 miles	< 100 miles		
4	Previous Work with ReWa - If "Yes but suspended" -Contractor DISQUALIFIED	No	Yes (marginal)		Yes (satisfactory)
5	Bankruptcies within the last 10 years	≥ 1			None
6	Liquidated Damages Claims within last 10 years	≥ 3	2	1	None
7	Loan Defaults within last 10 years	≥ 1			None
8	Claims / Financial Resolutions/Judgements within last 10 years	≥ 1			None
9	Bonding Refusal within the last 10 years	≥ 1			None
10	Failure to Complete Project	Yes			No
11	Written Safety Program	No		Yes	
12	OSHA Citations within last 10 years	≥ 3	2	1	None
13	Written Quality Assurance Program	No		Yes	
14	Written Substance Abuse Policy	No		Yes	
15	Construction Related Lawsuits in Last 10 Years	≥ 3	2	1	None
16	Any combination of the following: Environmental fines, SSO's, regulatory action, etc. within the last 10 years	≥ 2	1		None

Score Range 0 - 105; Minimum Acceptable Score = 85